

application of: Irun R. COHEN et al.

Confirmation No.: 5950

Application No.: 10/032,482

Group Art Unit: 1642

Filing Date:

January 2, 2002

Examiner: Sean E. Aeder

For: IMMUNOGENIC COMPOSITIONS FOR

Attorney Docket No.: 85189-700

INDUCTION OF ANTI-TUMOR

IMMUNITY

REQUEST FOR CORRECTION OF FILING RECEIPT

Office of Initial Patent Examination **Customer Service Center** Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

A Filing Receipt for the above-identified application has been received and the following corrections are respectfully requested.

Please change the Attorney Docket No. from "COHEN=42A" to -- 85189-700 --.

At "Domestic Priority data as claimed by applicant", change "PCT/IL98/00211" to -- PCT/IL98/00266 06/09/1998 --. This change is requested to correct an inadvertent typographical error in the International application number made page 1 of the specification. A Supplemental Amendment was filed on October 13, 2005 to correct the error in the specification, a copy of which is attached hereto. Also enclosed is a copy of the Declaration and Power of Attorney filed in the parent application that includes the correct International application number PCT/IL98/00266.

A marked-up copy of the Filing Receipt with the requested changes noted thereon is attached. Please issue a corrected Filing Receipt in due course.

- 1 -DC:440420.1

No fee is believed to be due for this submission. Should any fees be required, however, please charge such fees to Winston & Strawn LLP Deposit Account No. 50-1814.

Respectfully submitted,

10/31/05
Date

Allan A. Fanucci

(Reg. No. 30,256)

WINSTON & STRAWN LLP Customer No. 28765

212-294-3311

DC:440420.1 - 2 -



Commissioner for Patent Washington; DC 2023

APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS 10/032,482 01/02/2002 1642 412 GOHEN=42A 5 11 4

85189-700

CONFIRMATION NO. 5950



OC00000009489671

28765
WINSTON & STRAWN
PATENT DEPARTMENT
1400 L STREET, N.W.
WASHINGTON, DC 20005-3502

Date Mailed: 02/06/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Irun R. Cohen, Rehovot, ISRAEL; Varda Rotter, Rishon LeZion, ISRAEL; Roland Wolkowicz, Redwood City, CA; Pedro Ruiz, Stanford, CA; Neta Erez-Alon, Tel Aviv, ISRAEL; Johannes Herkel, Wuerzberg, GERMANY;

Assignment For Published Patent Application

Yeda Research and Development Co. Ltd., Rehovot, ISRAEL;

Domestic Priority data as claimed by applicant

This application is a DIV of 09/445,602 03/23/2000 ABN which is a 371 of PCT/IL98/00211-06/09/1998
PCT/IL98/00266

Foreign Applications

ISRAEL 121041 06/09/1997

If Required, Foreign Filing License Granted: 02/05/2002

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Immunogenic compositions for induction of anti-tumor immunity

Preliminary Class

424

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).





IN THE TEST FED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Irun R. COHEN et al.

Confirmation No.: 5950

Application No.: 10/032,482

Group Art Unit: 1642

Filing Date: January 2, 2002

Examiner: Sean E. Aeder

For: IMMUNOGENIC COMPOSITIONS

Attorney Docket No.: 85189-700

FOR INDUCTION OF ANTI-TUMOR

ACTIVITY

SUPPLEMENTAL AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants respectfully request that the following amendments and remarks be entered into the file of this application prior to examination thereof.

SPECIFICATION AMENDMENTS begin on page 2 of this paper.

REMARKS begin on page 3 of this paper.

No fee is believed to be due for this response. Should any fees be required, however, please charge such fees to Winston & Strawn LLP Deposit Account No. 50-1814.

SPECIFICATION AMENDMENTS

Please replace previously amended paragraph [0001] of the specification with the following substitute paragraph:

--The present application is a division of U.S. Application No. 09/445,602, which was filed on March 23, 2000, now abandoned; which is the national stage under 35 U.S.C. § 371 of PCT/IL98/00211 International Application No. PCT/IL98/00266, filed on June 9, 1998, the entire contents of each being incorporated herein by reference.--.

REMARKS

The specification has been amended to correct an inadvertent typographical error in the identity of the parent International application no. PCT/IL98/00266. Support for this change appears on the Declaration filed in prior application 09/445,602, a copy of which was filed in the present application. No new matter is being entered by these changes.

Respectfully submitted,

 $\frac{101305}{\text{Date}}$

Allan A. Fanucci

Reg. No. 30,256)

WINSTON & STRAWN LLP Customer No. 28765 212-294-3311

Atty. Docket: COHEN=42

Combined Declaration for Patent Application and Power of Attorney

	ventor, I hereby declare that:			
and sole inventor (if o	fice address and citizenship are as st only one name is listed below) or an ich is claimed and for which a paten	original, first and joi	nt inventor (if plural names	am the original, first are listed below) of
IMMUNOGENIC CO	MPOSITIONS FOR INDUCTION C	F ANTI-TUMOR IM	MUNITY	
the specification of w	hich (check one)			
[]	is attached hereto; was filed in the United States under U.S. Appln. No*; or	35 U.S.C. §111 on _	, as	OCT 3
[X]	was/will be filed in the U.S. und international (PCT) application, PC entry requested on 9 December Appln. No. *, \$371/\$10	C1/IL98/00266 1999	by entry into the U.S. n ; filed 9 June 1999 *; national stage applic * (* if known)	ational stage of sampade
and was amended on	28 June 1999 (include dates of amendments under PC	CT Art. 19 and 34 if PCT)	(if applicable).	
amendment referred information known by I hereby claim foreig inventor's certificate,	understand the contents of the about above; and I acknowledge the me to be material to patentability and priority benefits under 35 U.S.C. or prior PCT application(s) design so identified below any such application.	duty to disclose to s defined in 37 C.F.R. C. §§ 119 and 365 of ating a country other	the Patent and Trademar. §1.56. f any prior foreign applicate than the U.S., listed below	tion(s) for patent or with the "Yes" box
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(Number	(Country)	(Day Month	Year Filed) YES	[] NO
designating the U.S. subject matter of each by the first paragraph	enefit under 35 U.S.C. §120 of any listed below, or under §119(e) of ar h of the claims of this application is a of 35 U.S.C. §112, I acknowledge tred between the filing date of the pro-	ny prior U.S. provision not disclosed in such the duty to disclose to	nal applications listed below U.S. or PCT application in the PTO all information as	v, and, insofar as the the manner provided defined in 37 C.F.R.
(Applicat	ion No.) (Day Mont	h Year Filed)	(Status: patented, pending	abandoned)
(Applicat	ion No.) (Day Mont	h Year Filed)	(Status: patented, pending	abandoned)
(Applicat	ion No.) (Day Mont	h Year Filed)	(Status: patented, pending	abandoned)
As a named inventor business in the Paten	r, I hereby appoint the following re t and Trademark Office connected the All of the practitioners ass	nerewith:	•	on and to transact all

Direct all correspondence to the address associated with Customer Number 001444; i.e.,

BROWDY AND NEIMARK, P.L.L.C. 624 Ninth Street, N.W. Washington, D.C. 20001-5303 (202) 628-5197

The undersigned hereby authorizes the U.S. Attorneys or Agents appointed herein to accept and follow instructions from Yeda Research and Development Co. Ltd. as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. Attorneys or Agents and the undersigned. In the event of a change of the persons from whom instructions may be taken, the U.S. Attorneys or Agents appointed herein will be so notified by the undersigned.

Page 2 of 2 Pages		A44 5	1 . COTTO 10 /C
Title: IMMUNOGENIC COMPOSITIONS FOR I	VIDITOTION OF ANTICTURE	Atty. Doc	cket: COHEN=42
U.S. Application filed	, Serial No.	JK HVHVIUNII Y	700
	, Serial No. <u>PCT/IL98/0026</u>	<u></u>	OCT S
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I hereby further declare that all statements made here	in of my own knowledge are to	rue and that all	statements made on
unformation and belief are believed to be true; and the	it these statements were made v	vith the knowled	ge that willful falograp.
statements and the like so made are punishable by fine of false statements may jeopardize the validity of the applic	or imprisonment, or both, under 1	8 U.S.C. §1001	and that such willful
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FULL NAME OF FIRST INVENTOR			r
Irun R. COHEN	INVENTOR'S SIGNATURE		DATE
RESIDENT		\sim	CO Oan, 2000
Rehovot, Israel	`	CITIZENSHIP	
POST OFFICE ADDRESS .		Israeli	
Hankin Street 11, 76354 Rehovot, Israel			
FULL NAME OF SECOND JOINT INVENTOR	INVENTOR'S SIGNATURE		DATE
Varda ROTTER	Vanda Rotten.		Feb- 6 2000
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Mivtsa Kadesh 2, 75221 Rishon LeZion, Israel			
	INVENTOR'S SIGNATURE :	· · · · ·	DATE
Roland WOLKOWICZ	<u> </u>		
RESIDENT	The state of the s	· CITIZENSHIP	
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POST OFFICE ADDRESS			
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FULL NAME OF FOURTH JOINT INVENTOR	INVENTOR'S SIGNATURE · ·		DATE
Pedro RUIZ			
RESIDENT	:	CITIZENSHIP	<u></u>
Stanford, California	•	Colombian	
POST OFFICE ADDRESS		;	
Beckman Center B002, Stanford, California 9430:	5-5429		
FULL NAME OF FIFTH IOINT INVENTOR	INVENTOR'S SIGNATURE		DATE
Neta EREZ-ALON	161		. i
RESIDENT		CITIZENSHIP	6 Feb 200 C
Tel Aviv, Israel		Israeli	
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Lea Street 18, 69412 Tel Aviv, Israel			
FULL NAME OF SIXTH JOINT INVENTOR	INVENTOR'S SIGNATURE		70477
Johannes HERKEL	AVENIOR BUILDING		DATE
RESIDENT		· CITTONIO	· · · · · · · · · · · · · · · · · · ·
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FULL NAME OF SEVENTH JOINT INVENTOR			
	INVENTOR'S SIGNATURE .	., .	DATE
RESIDENT	· · · · · · · · · · · · · · · · · · ·	1	
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POST OFFICE ADDRESS			
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Combined Declaration for Patent Application and Power of Attorney

As a below-named inventor, I hereby declare that:

and sole inventor (if	only one name is list	ted below) or an original,	w next to my name; and th first and joint inventor (if t on the invention entitled	at I believe I am plural names ar	the original, first \ re listed below) of
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the specification of w			•		
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and was amended on	28 June 1999 (Include dates of am	endments under PCT Art. 19	(if applica and 34 (FPCT)	ble).	
amendment referred information known b	to above, and I are material	cknowledge the duty to to patentability as defined		nd Iradenark	Omæ (PIO) an
inventor's certificate	or raint PCT anal	ication(s) designating a c	and 365 of any prior for country other than the U.S. wing a filing date before	" Tiltier befor A	ATT THE TEN DOX
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(Numbe	z)	(Country)	(Day Month Year-Filed)	YES	אס ניו
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designating the U.S. subject matter of each	listed below, or un th of the claims of the th of 35 U.S.C. 6112	der §119(e) of any prior U us application is not discl . I acknowledge the duty t	S. non-provisional application J.S. provisional application osed in such U.S. or PCT of the disclose to the PTO all in cation and the national filin	is listed below, application in the eformation as d	and, pisonar as the ne manner provided efined in 37 C.F.R.
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As a named inventor, I hereby appoint the following registered practitioners to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

All of the practitioners associated with Customer Number 001444

Direct all correspondence to the address associated with Customer Number 001444; i.e.,

BROWDY AND NEIMARK, P.L.L.C. 624 Ninth Street, N.W. Washington, D.C. 20001-5303 (202) 628-5197

The undersigned hereby authorizes the U.S. Attorneys or Agents appointed herein to accept and follow-instructions from Yeda Research and Development Co. Ltd. as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. Attorneys or Agents and the undersigned. In the event of a change of the persons from whom instructions may be taken, the U.S. Attorneys or Agents appointed herein will be so notified by the undersigned.

Page 2 of 2 Pages Title: IMMUNOGENIC COMPOSITIONS FOR IND	Arry. Docket: COHEN=42 UCTION OF ANTI-TUMOR IMMUNITY
U.S. Application filed	Serial No. PCT/IL98/00266
I hereby further declare that all statements made herein	of my own knowledge are true and that all statements made on

I hereby further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Irun R. COHEN	INVENTOR SSIGNATURE		DATE				
RESIDENT		CITIZENSHIP					
Rehovot, Israel		Israeli					
POST OFFICE ADDRESS		ASIGLA					
Hankin Street 11, 76354 Rehovot, Israel							
FULL NAME OF SECOND JOINT INVENTOR	INVENTOR'S SIGNATURE		DATE				
Varda ROTTER	manual and another or of	. :	LAID				
RESIDENT		CITIZENSHIP					
Rishon LeZion, Israel		Israchi					
POST OFFICE ADDRESS							
Mivtsa Kadesh 2, 75221 Rishon LeZion, Israel							
FULL NAME OF THIED JOINT INVENTOR . ;	INVENTOR'S SERVATURE		DATE.				
Roland WOLKOWICZ	. X Kolmot Well		X1.24.00				
RESIDENT	and the state of	· CITIZENSHIP					
Redwood City, California		Israeli					
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Apartment 9, 130 Arch Street, Redwood City, Cal	ifornia	1,					
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Pedro RUIZ							
RESIDENT	•	CITIZENSHIP					
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POST OFFICE ADDRESS		•					
Beckman Center B002, Stanford, California 9430	5-5429						
FULL NAME OF FUTH JOINT INVENTOR	INVENTOR'S SIGNATURE		DATE				
Neta EREZ-ALON							
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Lea Street 18, 69412 Tel Aviv, Israel							
FULL NAME OF SIXTH IOINT INVENTOR	inventor's signature		DATE				
Johannes HERKEL							
		CHIZENSHIP	•				
Wuerzberg, Germany		German					
POST OFFICE ADDRESS							
Griesaeckerstrasse 3, D-97078 Wuerzberg, Germany							
FULL NAME OF SEVENTH JOINT INVENTOR	INVENTOR'S SIGNATURE		DATE				
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POST OFFICE ADDRESS		1					

ALL INVENTIORS MUST REVIEW APPLICATION AND DECLARATION DEFCRE SIGNING. ALL ALTERATIONS MUST BE DISTRIED AND DATED BY ALL INVENTORS PRIOR TO EXECUTION, NO ALTERATIONS CAN BE MADE AFTER THE DECLARATION IS SIGNED. ALL PAGES OF DECLARATION NOST BE SEENEY ALL INVENTORS.



Page 1 of 2 Pag s	[] Original	[] Substitute	[x] Supplemental	Atty. Docket: COHEN=42

Coml ined Declaration for Patent Application and Power of Attorney

As a below-named i	ventor, I hereby declare that	ť		
and sole inventor (i	lice address and citizenship only one name is listed belinch is claimed and for which	ow) or an original, first at	ed joint inventor (if plural n	eve I am the original, first ames are listed below) of
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and Was amended or	28 June 1999 (Include dates of amendmens	is under PCI Års. 19 and 34 tj	(if applicable).	
amendment referre information known I	understand the contents of to above, and I acknowl me to be material to pater	edge the duty to discless trability as defined in 37 (se to the Patent and Trad C.F.R. §1.56.	emark Office (PTO) all
inventor's certificat	gn priority benefits under or prior PCT application() so identified below any st	s) designating a country of	ther than the U.S., listed b	elow with the "Yes" box
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As a named invento business in the Pater	, I hereby appoint the followard Trademark Office com	owing registered practition meeted therewith:	ners to prosecute this appli	ication and to transact all
	• -	ners associated with Cu	•	
Direct all correspond	mee to the address associat	ed with Customer Numb	er 001444; i.e.,	•
		BROWDY AND NEIM 624 Ninth Street, N.W. Washington, D.C. 2000 (202) 628-5197		

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FULL NAME OF FIRST	NAENLOR	INVENTOR'S SIGNATURE	•	DATE
Inn R. COHEN	•			
RESIDENT			CITIZENSHIP	
Rehovot, Israel			Israeli	
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Hankin Street 11,	76354 Rehovot, Israel			
full name of secoi	D JOINT INVENTOR	inventor's sudnature	. !	. DATE
Varda ROTTER				
RESIDENT			CONTRACTOR	
Rishon LeZion, I	aei		Israeli	
POST OFFICE ADDRES				
Mivesa Kadesh 2,	75221 Rishon LeZion, I	srael		
full name of thirl	IOINT INVENTOR	. : . INVENTOR'S SIGNATURE		DATE
Roland WOLKO	VICZ	<u> </u>		
RESIDENT			CILIZADISMI	
Redwood City, C	CONTRACTOR OF THE PARTY OF THE		Israeli	
POST OFFICE ADDRES			• 1.	
Apartment 9, 130	Arch Street, Redwood C		·	
FULL NAME OF FOUR	1 JOINT INVENTOR	INVENTOR'S SIGNATURB	· Mui)	X an.18
Pedro RUIZ		X		1 Anti-
RESIDENT	March 1997		CITIZENSHIP	•
Stanford, Californ	ia		Colombian	
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FULL NAME OF FIFTH		INVENTOR'S SIGNATURE		DATE
Neta EREZ-ALO			······································	
RESIDENT		:	CITIZENSHIP	
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FULL NAME OF SIXTH		INVENTOR'S SIGNATURE		DATE
Johannes HERKE	l.			
KEZIDENT			CUIZENZHIP	•
Wuerzberg, Germ			German	7.************************************
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Griesaeckerstrass	3, D-97078 Wuerzberg			
FUIL NAME OF SEVEN	HIOINT INVENTOR	INVENTOR'S SIGNATURE		DATE .
			· 1	
RESIDENT		•	CITIZENZHIP	

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Combined Declaration for Patent Application and Power of Attorney

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, and that I believe I am the original, first and sole inventor (if only one name is listed below) or an original. first and joint inventor (if place) names are listed below

the subject matter w	hich is claimed an	d for which a patent is	sought on the inve	ention entitled	ar marries	STC TINES OCION) OI
the specification of	OMPOSITIONS F	OR INDUCTION OF	NII-TUMOR IM	MUNITY		
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. []		United States under 35	U.S.C. §111 on _		, as	
[X]	was/will be file international (PC	d in the U.S. under T) application, PCT/I	1.98/00266	by entry into the	U.S. 113 me 1998	tional stage of an
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und was amended or		amendments under PCT As	1. 19 and 34 if PCT)	(if applicable).	·	
information known in thereby claim force	y me to be materia	contents of the above-ic acknowledge the du al to patentability as de ics under 35 U.S.C. §9	ly to disclose to fined in 37 C.F.R.	the Patent and T \$1.56.	radomark	Office (PTO) all
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Numbe	=)	(Country)	(Day Month	Year Filed)	YES	NO
subject matter of each or the first paragraph (1.56(a) which occur	th of the claims of h of 35 U.S.C. §11 ned between the fi	S.C. §120 of any prior uder §119(e) of any pri this application is not o 2, I acknowledge the di ling date of the prior ap	or U.S. provision: fisclosed in such t aty to disclose to t	al applications lists J.S. or PCT applica- he PTO all informa-	d below,	and, insofar as the manner provided
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	tion No.)	(Day Month Yea	Filed)	(Status: patented,	pending a	pandoned)
(Applica	tion No.)	(Day Month Yes	r Filed)	(Status: natested	nending of	and made

As a named inventor, I hereby appoint the following registered practitioners to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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The undersigned hereby sufforizes the U.S. Attorneys or Agents appointed herein to accept and follow instructions from Yeda Research and Development Co. Ltd. as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. Attorneys or Agents and the undersigned. In the event of a change of the persons from whom instructions may be taken, the U.S. Attorneys or Agents appointed hetein will be so notified by the

Page 2 of 2 Pages	Atty. D	ocket: COHEN=42
Title: IMMUNOGENIC COMPOSITIONS	FOR INDUCTION OF ANTI-TUMOR IMMUNIT	Y
U.S. Application filed	Serial No.	
PCT Application filed 9 June 1998	Serial No. PCT/IL98/00266	

I hereby further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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